

Key Personnel Evaluation Form

Please provide comment if necessary.

Criteria		Comment		
1.0	Fit and Proper Criteria	Yes	No	Details
1.1	The candidate has never been the subject of any proceedings of a disciplinary or criminal nature, or has been notified of any impending proceedings or of any investigations, which might lead to such proceedings			
1.2	The candidate has never contravened any provision made by or under any written law designed to protect members of the public against financial loss due to dishonesty, incompetence or malpractice			
1.3	The candidate has never contravened any of the requirements and standards of a regulatory body, professional body, government or its agencies			
1.4	The candidate has never engaged or any business in which he has a controlling interest or exercises significant influence, has been investigated, disciplined, suspended or reprimanded by a regulatory or professional body, a court or tribunal, whether publicly or privately			
1.5	The candidate has never engaged in any business practices which are deceitful, oppressive or otherwise improper (whether unlawful or not), or which otherwise reflect discredit on the professional conduct			
1.6	The candidate has never been dismissed, asked to resign or has resigned from employment or from a position of trust, fiduciary appointment or similar position because of questions about his honesty and integrity			
1.7	The candidate has never been associated, in ownership or management capacity, with a company, partnership or other business association that has been refused registration, authorisation, membership or a licence to conduct any trade, business or profession, or has had that registration, authorisation, membership or licence revoked, withdrawn or terminated			

Criteria		Comment		
1.0	Fit and Proper Criteria (Contd.)	Yes	No	Details
1.8	The candidate has never hold any position of responsibility in the management of a business that has gone into receivership, insolvency, or involuntary liquidation while the person was connected with that business			
1.9	The candidate has never been a director of, or directly concerned in the management of, any corporation which is being or has been wound up by a court or other authority competent to do so within or outside Malaysia, or of any licensed institution, the licence of which has been revoked under any written law			
1.10	The candidate has never ever acted unfairly or dishonestly in dealings with your customers, employer, auditors and regulatory authorities?			
1.11	The candidate has never at any time shown a strong objection or lack of willingness to cooperate with regulatory authorities and failure to comply with legal, regulatory and professional requirements and standards, including compliance with tax requirements and obligations			
1.12	The candidate has never ever contributed significantly to the failure of an organisation or a business unit			
1.13	The candidate has never at any time shown strong objection or a lack of willingness to maintain effective internal control systems and risk management practices			
1.14	The candidate has never involved in any business or other relationship which could materially pose a conflict of interest or interfere with the exercise of his judgement when acting in the capacity of a key responsible person which would be disadvantageous to the Company or Company's interest			